

Project approval

PART A: PROJECT IDENTITY

(This part can be copied and pasted from part A of the project proposal)

Description	Information						
Project number and title as per SDP	SDP 2.8 - Consolidating and aligning guidance on IT Audit Guid 5310 on Information Systems' Security Audit, including Cyber Security						
Working title(s) for the new pronouncement(s)	Guidelines on Information Systems' Security Audit, including Cyber Security (Earlier ISSAI 5310)						
Project aim	To revise the pre-existing ISSAI 5310 now GUID: "Guidelines on Information Systems' Security Audit", including a new section on Cyber Security, to be used by auditors of SAIs.						
Project objectives	To create a relevant GUID for use by field Audit practitioners, with the objectives of executing the following processes- 1. Aligning the guidance with ISSAI 100 and the revised GUID 5300 2. Identification of universe of information systems assets in use by audited entity 3. Identification of potential threats and counter measures for mitigation and avoidance of risk exposure to assets 4. Evaluation of internal controls already adopted by audited entity 5. Risk Analysis, quantified in terms of risk exposure determined by combination of criticality of information asset(s) and business impact of failure 6. Issue of recommendations, based on computed risk exposure						
Project duration	22.06.2017 to 30.06.2019 (~ 24 months).						
Name of the lead WG ¹	INTOSAI Working Group on IT Audit (WGITA)						
Key contacts	Name	Surname	Address	Email	Office Phone	Business Mobile Phone	Organization / Sponsoring SAI
Project Group lead	SAURABH	NARAIN	Office of Accountant General (E&RS Audit) Uttar Pradesh, TC-35 V-1, Vibhuti Khand Gomti Nagar, Lucknow 226010 (INDIA)	narainS@cag.gov.in	+91-522-2722112	+91-9412055623	SAI INDIA
Contact person for the goal chair	K S	SUBRAMANIAN	Director General (International Relations),	subramanianKS@cag.gov.in	+91-23237822	+91-7053030000	SAI INDIA

¹ For those "type A" projects where an existing working group/subcommittee is considered to have "natural ownership" of the project

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			Office of the Comptroller and Auditor General of India, 9 Deen Dayal Upadhyaya Marg, New Delhi- 110124 (INDIA)			
FIPP liaison officer	EINAR	GORRISSEN	Director General, IDI Oslo Norway	enar.gorrissen@idi.no		IDI

PART B: KEY COMMENTS/CONDITIONALITIES

Key Approval Criteria			Comments/Recommendations from FIPP
B.1	Does the proposed project correspond to need identified in the SDP?	YES	The project is in line with the needs identified in the SDP (2.8) where it is stated that ISSAI 5310 is to be reviewed. C1 describes the need of the project in terms of updating and reviewing the material in light of developments in the standards, guidelines and material related to information systems security. It also needs to be linked to the overarching GUID (5300) on IT. It is also highlighted that the document will provide a bridge to the detailed practitioner level guidance contained in the WGITA IDI IT Audit handbook.
B.2	Does the project address the issues identified while describing the need for the project in Part B of Project Proposal	YES	No comment
B.3	Does the project proposal provide directions sufficient to define the scope of applicability of the proposed pronouncement?	YES/Partially	FIPP discussed the project during its November 2017 meeting. While it was agreed to grant an approval for the project, it was underlined that members still felt that there was a lack of clarity in the scope and content of the project. Project approval is thus conditional on the project group providing a preliminary structure of Guid for consideration by FIPP (preferably at the March 2018 meeting). It was also underlined that 1) there needs to be a clear link between this and 5300, 2) That this should not be an overly voluminous document, but rather a document that is general and durable.
B.4	Does the project avoid overlaps and inconsistencies with other professional pronouncements in the framework?	YES	See above
B.5	Are the proposed quality processes to be applied and the parties to be consulted or engaged with when preparing the exposure draft clearly defined?	YES	Proposal gives sufficient description in their response to C9 on explaining the quality processes that will be applied in the drafting process.

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B.6	Is ownership of the project clearly identified (i.e. an existing PSC/CBC/KSC working group or a specific ad hoc project group).	YES	Under WGITA.
B.7	Is the organisation of the project sufficiently representative of sub committees/working groups/other interested parties	YES	No comment
B.8	Are the timelines of the project reasonable?	YES	No comment
B.9	Is the working title of the project appropriate and in accordance with the classification principles?	YES	The numbering in the IFPP will however need to be reconsidered. See below.

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PART C: PROJECT APPROVAL DECISION

FIPP has received and reviewed the project proposal and supporting documentation in line with FIPP procedure for approving project proposals. FIPP:

APPROVES THE PROJECT PROPOSAL <input checked="" type="checkbox"/>	CONDITIONALLY APPROVES THE PROJECT PROPOSAL <input type="checkbox"/>	REJECTS THE PROJECT PROPOSAL <input type="checkbox"/>
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Add in box below the conditions to be met for project approval or the reasons for project rejection
The approval has been granted subject to the project group providing a brief explanation of the preliminary structure of Guid for consideration by FIPP (at the March 2018 meeting). FIPP advises that the proposed Guid <ul style="list-style-type: none">• should not be voluminous• should not be too technical and focus less on technology aspects of IT Security and more on audit issues of IT Security• should stand the test of time and not require too frequent update

RECOMMENDED NUMBERING OF THE PROJECT FOR IFPP:	5100 - 5109 series (GUID 5100-5109 reserved for consolidated guidance on IT-audit)
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Decision Signatures:

Approver by title	Name, Date & Signature
FIPP Liaison Officer	EINAR GORRISSEN (January 2017)
FIPP Chairperson	GANGA KAPAVARAPU